SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

<u>Controneo Pat</u>	2. Date of Event Requiring Statement (Month/Day/Year) 11/13/2014		3. Issuer Name and Ticker or Trading Symbol <u>FIBROGEN INC</u> [FGEN]				
(Last) (First) (Middle) C/O FIBROGEN, INC. 409 ILLINOIS ST.			4. Relationship of Reporting Perso (Check all applicable) Director	on(s) to Issuer 10% Owner Other (spec	(Mo	5. If Amendment, Date of Original Filed (Month/Day/Year)	
			A below)	below)	í [6. In	dividual or Joint licable Line)	/Group Filing (Check
(Street)			VP, Finance and	CFU	Х		y One Reporting Person y More than One
FRANCISCO CA 94158						Reporting P	
(City) (State) (Zip)							
Table I - Non-Derivative Securities Beneficially Owned							
1. Title of Security (Instr. 4)			. Amount of Securities eneficially Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)	
Common Stock			40,000	D			
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)							
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise	Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	(1)	03/12/2018	Common Stock	32,000	2.35	D	
Stock Option (Right to Buy)	(1)	03/12/2018	Common Stock	8,000	2.35	D	
Stock Option (Right to Buy)	(2)	06/09/2020	Common Stock	25,600	2.9	D	
Stock Option (Right to Buy)	(1)	06/24/2020	Common Stock	32,000	2.9	D	
Stock Option (Right to Buy)	(1)	06/24/2020	Common Stock	8,000	2.9	D	
Stock Option (Right to Buy)	(1)	06/24/2020	Common Stock	16,000	2.9	D	
Stock Option (Right to Buy)	(1)	06/24/2020	Common Stock	6,000	2.9	D	
Stock Option (Right to Buy)	(1)	06/24/2020	Common Stock	1,480	2.9	D	
Stock Option (Right to Buy)	(1)	06/24/2020	Common Stock	5,600	2.9	D	
Stock Option (Right to Buy)	(1)	06/24/2020	Common Stock	40,000	2.9	D	
Stock Option (Right to Buy)	(1)	06/24/2020	Common Stock	17,600	2.9	D	
Stock Option (Right to Buy)	(1)	06/24/2020	Common Stock	4,000	2.9	D	
Stock Option (Right to Buy)	(1)	06/24/2020	Common Stock	527	2.9	D	
Stock Option (Right to Buy)	(1)	06/24/2020	Common Stock	4,000	2.9	D	
Stock Option (RIght to Buy)	(1)	06/24/2020	Common Stock	6,750	2.9	D	
Stock Option (Right to Buy)	(2)	06/07/2021	Common Stock	28,000	3.5	D	
Stock Option (Right to Buy)	(2)	06/27/2012	Common Stock	21,000	5.95	D	
Stock Option (Right to Buy)	(3)	03/19/2024	Common Stock	112,000	14.575	D	

Explanation of Responses:

1. Fully vested.

2. Twenty percent of the shares subject to each option vests on the first anniversary of the vesting commencement date and 80% of the shares subject to each option vests in 16 substantially equal quarterly installments thereafter over for the following four years.

3. Twenty-five percent of the shares subject to the option vests on the first anniversary of the vesting commencement date, and the remainder vests in equal amounts quarterly thereafter for the following three years.

Remarks:

/s/ John Alden, Attorney-in- 11/13/2014

<u>fact</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

LIMITED POWER OF ATTORNEY FOR SECTION 16 REPORTING OBLIGATIONS

Know all by these presents, that the undersigned hereby makes, constitutes and appoints each of Pat Cotroneo, Michael Lowenstein, John Alden, Dorothy Pacini, Melissa Leon, Derrick Anderson, Eric Steiner, Michael Tenta, and Glen Sato as the undersigned's true and lawful attorney-in-fact, with full power and authority as hereinafter described on behalf of and in the name, place and stead of the undersigned to:

(1) prepare, execute, acknowledge, deliver and file Forms 3, 4, and 5 (including any amendments thereto) with respect to the securities of FibroGen, Inc., a Delaware corporation (the "Company"), with the SEC, any national securities exchanges and the Company, as considered necessary or advisable under Section 16(a) of the Securities Exchange Act of 1934, as amended (the "Exchange Act") and the rules and regulations promulgated thereunder, as amended from time to time;

(2) seek or obtain, as the undersigned's representative and on the undersigned's behalf, information on transactions in the Company's securities from any third party, including brokers, employee benefit plan administrators and trustees, and the undersigned hereby authorizes any such person to release any such information to the undersigned and approves and ratifies any such release of information; and

(3) perform any and all other acts which in the discretion of such attorney-in-fact are necessary or desirable for and on behalf of the undersigned in connection with the foregoing.

The undersigned acknowledges that:

(1) this Power of Attorney authorizes, but does not require, such attorney-in-fact to act in their discretion on information provided to such attorney-in-fact without independent verification of such information;

(2) any documents prepared and/or executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney will be in such form and will contain such information and disclosure as such attorney-in-fact, in his or her discretion, deems necessary or desirable;

(3) neither the Company nor such attorney-in-fact assumes (i) any liability for the undersigned's responsibility to comply with the requirement of the Exchange Act, (ii) any liability of the undersigned for any failure to comply with such requirements, or (iii) any obligation or liability of the undersigned for profit disgorgement under Section 16(b) of the Exchange Act; and

(4) this Power of Attorney does not relieve the undersigned from responsibility for compliance with the undersigned's obligations under the Exchange Act, including without limitation the reporting requirements under Section 16 of the Exchange Act.

The undersigned hereby gives and grants the foregoing attorney-in-fact full power and authority to do and perform all and every act and thing whatsoever requisite, necessary or appropriate to be done in and about the foregoing matters as fully to all intents and purposes as the undersigned might or could do if present, hereby ratifying all that such attorney-in-fact of, for and on behalf of the undersigned, shall lawfully do or cause to be done by virtue of this Limited Power of Attorney.

This Power of Attorney shall remain in full force and effect until the earlier to occur of (i) revocation by the undersigned in a signed writing delivered to such attorney-in-fact, (ii) the undersigned is no longer required to file Forms 3, 4 and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, or (iii) as to any attorney-in-fact individually, until such attorney-in-fact shall no longer be employed by the Company or Cooley LLP, as applicable.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 24th day of September 2014.

/s/ Pat Cotroneo