SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

<u>KEARNS THOMAS F JR</u> (f)		Date of Event equiring Staten Aonth/Day/Year 1/13/2014	nent ¹	3. Issuer Name and Ticker or Trading Symbol <u>FIBROGEN INC</u> [FGEN]						
(Last) (First) (Middle) C/O FIBROGEN, INC. 409 ILLINOIS ST.				4. Relationship of Reporting Pers (Check all applicable) X Director Officer (give title below)		on(s) to Issue 10% Owne Other (spe below)	r (Moi 11/3	5. If Amendment, Date of Original Filed (Month/Day/Year) 11/13/2014		
(Street) SAN FRANCSICO (City) (State)	94158 (Zip)			Derow,				icable Line) Form filed b	/Group Filing (Check y One Reporting Person y More than One erson	
Table I - Non-Derivative Securities Beneficially Owned										
1. Title of Security (Instr. 4)				2. Amount of Securities Beneficially Owned (Instr. 4)				Nature of Indirect Beneficial Ownership nstr. 5)		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 4)		2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securit Underlying Derivative Security			4. Conversion or Exercise	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
		Date Exercisable	Expiration Date	Title		Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)		
Series RA Convertible Preferr	ed Stock	(1)	(1)	Com	non Stock	22,856	(1)	D		

Explanation of Responses:

1. Each share of the issuer's Series A Preferred Stock, Series B Preferred Stock, Series E Preferred Stock, Series RA Preferred Stock and Series F Preferred Stock will be automatically converted into 0.4 of a share of common stock immediately prior to the closing of the issuer's initial public offering, and has no expiration date.

Remarks:

This Form 3/A is being filed to correct the number of shares of Series RA Convertible Preferred Stock held by the reporting person at the time of filing. The issuer's public offering closed on November 19, 2014 and the shares were converted into common stock upon the closing.

<u>/s/ Dorothy Pacini, Attorney-</u> <u>in-fact</u>	<u>04/21/2017</u>
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.