SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addre	ess of Reporting Perates A	2. Issuer Name and Ticker or Trading Symbol <u>FIBROGEN INC</u> [FGEN]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last)	(First)	(Middle	2)	3. Date of Earliest Tra 06/07/2023	ansaction (N	Nonth	n/Day/Year)			Х	Director Officer (give title below)	10% C Other below)	(specify
C/O FIBROGE 409 ILLINOIS		4. If Amendment, Dat	e of Origina	al File	ed (Month/Da	ay/Year)		6. Indiv Line) X	idual or Joint/Group Form filed by One	0 (
(Street) SAN FRANCISCO CA 94158											Form filed by Mor Person	e than One Rep	orting
				Rule 10b5-1(c) Transaction Indication									
(City) (State) (Zip)				X Check this box to satisfy the affirmat							t, instruction or writter	n plan that is inter	nded to
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned													
Date		2. Transaction Date (Month/Day/Ye	Execution Date,		Transaction Disposed Of (D) (Instr. 3, 4 a Code (Instr.				Ind 5) Securities Fe Beneficially (D		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	,	Amount	(A) or	Price		Transaction(s)		

		Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock	06/07/2023	А		8,289 ⁽¹⁾	Α	\$0.00	28,766	D	
Common Stock	06/07/2023	S ⁽²⁾		7,100	D	\$18.0264 ⁽³⁾	73,722	I	By Trust
[<i></i>			

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

				-				-			-				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (lı 8)		5. Numl of Derivati Securiti Acquire (A) or Dispose of (D) (Instr. 3 and 5)	ive ies ed ed	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	derivative Securities Beneficially Owned	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (Right to Buy)	\$17.13	06/07/2023		A		12,666		(4)	06/06/2033	Common Stock	12,666	\$0.00	12,666	D	

Explanation of Responses:

1. Represents the grant of restricted stock units that vest on the earlier of June 7, 2024 or the date of the next annual meeting of stockholders, subject to the reporting person's continuous service on the applicable vesting date.

2. Represents the number of shares sold by the reporting person (pursuant to a 10b5-1 trading plan dated March 2, 2023) to cover the tax obligation realized upon the vesting of restricted stock units previously reported in Table I.

3. Price reported is a weighted-average sales price. The shares were sold at prices ranging from \$17.77 to \$18.56. The Reporting Person will provide upon request to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

4. Options vest on the earlier of June 7, 2024 or the date of the next annual meeting of stockholders, subject to the reporting person's continuous service on the applicable vesting date.

Remarks:

/s/ Cecelia Monoarfa-Taime,	00
Attorney-in-fact	<u>06/</u>

06/09/2023

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.