SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

			or Sec	ction 30(h) of the In	vestment Con	npany Act of 1940	3				
1. Name and Address of Reporting Person [*] Graham Juan				er Name and Ticker COGEN INC		rmbol		tionship of Reporting all applicable) Director Officer (give title	10% C Other	Owner (specify	
(Last) (First) (Middle) C/O FIBROGEN, INC. 409 ILLINOIS STREET			3. Date 09/07/	of Earliest Transac 2021	tion (Month/D	ay/Year)		below) below) Chief Financial Officer			
(Street) SAN CA 94158 FRANCISCO			4. If Am	endment, Date of C	Driginal Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip)									
		Table I - Noi	n-Derivative S	ecurities Acq	uired, Disp	oosed of, or Benefic	cially (Dwned			
Date		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		

CodeVAmount(A) or (D)PriceTransaction(s) (Instr. 3 and 4)(Hour 4)Common Stock09/07/2021A35,000(1)A\$0.0036,400D		(enal 2 aj / eal j	(Month/Day/Year)	8)					Owned Following Reported	(I) (Instr. 4)	Ownership (Instr. 4)
Common Stock 09/07/2021 A 35,000 ⁽¹⁾ A \$0.00 36,400 D				Code	v	Amount	(A) or (D)	Price	Transaction(s)		(113(11.4)
	Common Stock	09/07/2021		Α		35,000 ⁽¹⁾	A	\$0.00	36,400	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 5. Number of 1. Title of 3. Transaction 3A. Deemed 6. Date Exercisable and 7. Title and Amount 8. Price of 9. Number of 11. Nature 2 10. Derivative Security (Instr. 3) Execution Date, if any (Month/Day/Year) Transaction Code (Instr. 8) of Securities Underlying Derivative Security Derivative Security (Instr. 5) Conversion or Exercise Derivative Securities Expiration Date (Month/Day/Year) derivative Securities Ownership Form: of Indirect Beneficial (Month/Day/Year Direct (D) Price of Acquired (A Beneficially Ownership or Disposed of (D) (Instr. 3, 4 and 5) Derivative (Instr. 3 and 4) Owned or Indire (Instr. 4) Security Following Reported (I) (Instr. 4) Transaction(s) Amount (Instr. 4) or Number Date Expiration (D) Code ν (A) Exercisable Date Title of Shares Stock Option (Right to Commo \$12.41 09/07/2021 A 196,000 (2)09/07/2031 196,000 \$0.00 196,000 D Stock Buy)

Explanation of Responses:

1. Represents that grant of restricted stock units. Twenty-five percent of the restricted stock units vest on September 7, 2022, and the remainder vests in equal amount quarterly thereafter for the following three years.

2. Twenty-five percent of the shares subject to the option vests on September 7, 2022, and the remainder vests in equal amount quarterly thereafter for the following three years. **Remarks:**

/s/ Dorothy Pacini, Attorney-infact 09/09/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.