# SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

$\Box$	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
	INSTRUCTION T(D).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

#### OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

D

			or Section 30(h) of the Investment Company Act of 1940			
1. Name and Address of Reporting Person* <u>VALONE FRANK H MD</u>			2. Issuer Name and Ticker or Trading Symbol <u>FIBROGEN INC</u> [FGEN]	5. Rela (Check	son(s) to Issuer 10% Owner Other (specify	
(Last) C/O FIBROGEN 409 ILLINOIS S			3. Date of Earliest Transaction (Month/Day/Year) 11/13/2014		below) Chief Medical (	below) Officer
(Street) SAN FRANCISCO (City)	CA (State)	94158 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Filing Form filed by One Repo Form filed by More thar Person	orting Person

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock	11/13/2014		A		30,000 <sup>(1)</sup>	Α	\$0.00	54,000	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of Derivative Security (Instr. 3) 8. Price of Derivative Security 6. Date Exercisable and 7. Title and Amount 9. Number of 3. Transaction 3A. Deemed 5. Number 10. 11. Nature Code (Instr. 8) Expiration Date (Month/Day/Year) of Securities Underlying derivative Securities of Indirect Beneficial Conversion Date Execution Date, Ownership or Exercise (Month/Day/Year) if any Derivative Form: Direct (D) Price of (Month/Dav/Year) Securities **Derivative Security** (Instr. 5) Beneficially Ownership Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) Derivative (Instr. 3 and 4) Owned or Indirect (Instr. 4) Security Following (I) (Instr. 4) Reported Transaction(s) (Instr. 4) Amount or Number

Date Expiration of Date Code v (A) (D) Exercisable Title Shares Stock Option Commor \$18 11/13/2014 A 60.000 (2) 11/13/2024 60,000 \$0.00 60.000 (Right to Stock Buy)

Explanation of Responses:

1. Represents the grant of restricted stock units that vest over time.

2. Twenty-five percent of the shares subject to the option vests on the first anniversary of the vesting commencement date, and the remainder vests in equal amounts quarterly thereafter for the following three vears

**Remarks:** 

## /s/ John Alden, Attorney-in-fact 11/17/2014

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.