SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

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1. Name and Address of Reporting Person [*] Graham Juan				2. Issuer Name and Ticker or Trading Symbol <u>FIBROGEN INC</u> [FGEN]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) C/O FIBROGE	(First) N, INC.	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 08/30/2023					X	Officer (give title below) Chief Fina	Other below) Incial Officer	(specify)			
409 ILLINOIS STREET				4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Individual or Joint/Group Filing (Check Applicable Line)					
									X	Form filed by One	e Reporting Pers	on			
(Street) SAN CA 94158 FRANCISCO										Form filed by Mor Person	re than One Repo	orting			
		Ru	Rule 10b5-1(c) Transaction Indication												
(City)	(State)	(Zip)		Check this box to indicate that a transaction was made pursuant to a the affirmative defense conditions of Rule 10b5-1(c). See Instruction 1											
		Table I - Non	-Derivative	Securities Acq	uired,	Dis	oosed of, o	r Bene	ficially (Owned					
Date			2. Transaction Date (Month/Day/Yea	Execution Date,		ction Instr.	4. Securities A Disposed Of (D			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership			
					Code	v	Amount	(A) or	Drico	Transaction(s)		(Instr. 4)			

(A) or (D) (Instr. 3 and 4) 120,000⁽¹⁾ 08/30/2023 **\$0.00** D Common Stock A А 191,083 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 5. Number of 6. Date Exercisable and 1. Title of 3. Transaction 3A. Deemed 7. Title and Amount 8. Price of 9. Number of 11. Nature 2. Conversion 10. Expiration Date (Month/Day/Year) Derivative Derivative Date Execution Date Transaction Derivative Securities of Securities Underlying derivative Securities Ownership of Indirect Security or Exercise (Month/Day/Year) if any Code (Instr. Security Form: Beneficial

v

Amount

Code

	` '	Price of Derivative Security		(Month/Day/Year)	8)		Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				Derivative Security (Instr. 3 and 4)		(Instr. 5)	Beneficially Owned Following Reported	Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)			
	Stock Option (Right to Buy)	\$1.21	08/30/2023		A		220,000		(2)	08/29/2033	Common Stock	220,000	\$0.00	220,000	D		

Explanation of Responses:

1. Represents the grant of restricted stock units (RSUs) that vest quarterly over one year from September 6, 2023.

2. Twenty-five percent of the shares subject to the option will vest on August 30, 2024, and the remainder of the options will vest in equal amounts quarterly thereafter for the following three years. Remarks:

/s/ Michael Hom, Attorney-infact

Price

09/01/2023

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

LIMITED POWER OF ATTORNEY FOR SECTION 16 REPORTING OBLIGATIONS Know all by these presents, that the undersigned hereby makes, constitutes and appoints the following persons from FibroGen, Inc., a Delaware corporation (the "Company"): Juan Graham, Michael Lowenstein, John Alden, Michael Hom, Cecelia Monoarfa-Taime, Michelle Simonetti, Tricia Stewart, and Faith Holle, and certain employees of Cooley LLP, the law firm retained by the Company, specifically Eric Steiner, Justin Kisner, Nancy Wojtas, Julia Stark, Brett White, and John McKenna, as the undersigned's true and lawful attorney-in-fact, with full power and authority as hereinafter described on behalf of and in the name, place and stead of the undersigned to: (1) prepare, execute, acknowledge, deliver and file Forms 3, 4, and 5 (including any amendments thereto) with respect to the securities of the Company, with the SEC, any national securities exchanges and the Company, as considered necessary or advisable under Section 16(a) of the Securities Exchange Act of 1934, as amended (the "Exchange Act") and the rules and regulations promulgated thereunder, as amended from time to time; (2) seek or obtain, as the undersigned's representative and on the undersigned's behalf, information on transactions in the Company's securities from any third party, including brokers, employee benefit plan administrators and trustees, and the undersigned hereby authorizes any such person to release any such information to the undersigned and approves and ratifies any such release of information; and (3) perform any and all other acts which in the discretion of such attorney-in-fact are necessary or desirable for and on behalf of the undersigned in connection with the foregoing. The undersigned acknowledges that: (1) this Power of Attorney authorizes, but does not require, such attorney-in-fact to act in their discretion on information provided to such attorney-in-fact without independent verification of such information; (2) any documents prepared and/or executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney will be in such form and will contain such information and disclosure as such attorney-in-fact, in his or her discretion, deems necessary or desirable; (3) neither the Company nor such attorney-in-fact assumes (i) any liability for the undersigned's responsibility to comply with the requirement of the Exchange Act, (ii) any liability of the undersigned for any failure to comply with such requirements, or (iii) any obligation or liability of the undersigned for profit disgorgement under Section 16(b) of the Exchange Act; and (4) this Power of Attorney does not relieve the undersigned from responsibility for compliance with the undersigned's obligations under the Exchange Act, including without limitation the reporting requirements under Section 16 of the Exchange Act. The undersigned hereby gives and grants the foregoing attorney-in-fact full power and authority to do and perform all and every act and thing whatsoever requisite, necessary or appropriate to be done in and about the foregoing matters as fully to all intents and purposes as the undersigned might or could do if present, hereby ratifying all that such attorney-in-fact of, for and on behalf of the undersigned, shall lawfully do or cause to be done by virtue of this Limited Power of Attorney. This Power of Attorney shall remain in full force and effect until the earlier to occur of (i) revocation by the undersigned in a signed writing delivered to such attorney-in-fact, (ii) the undersigned is no longer required to file Forms 3, 4 and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, or (iii) as to any attorney-in-fact

individually, until such attorney-in-fact shall no longer be employed by the Company or Cooley LLP, as applicable. IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be

IN WIINESS WHEREOF, The undersigned has caused this Power of Attorney to be executed as of August 22, 2023.

/s/ Juan Graham